

#4/IDS
4/27/02
C. Davis

Attorney's Docket No. 432390.P10601

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)

Dimitri E. Nikonov et al.)

Application No.: new application)

Filed: Herewith)

For: AN INTEGRATED CIRCUIT)
HAVING AN INTEGRATED ARRAYED)
WAVEGUIDE GRATING (AWG) AND)
OPTICAL AMPLIFIERS)

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

J1046 U.S. PTO
09/982227
10/17/01

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

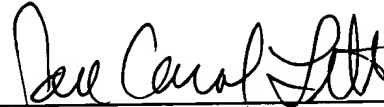
If there are any additional charges, please charge Deposit Account No.

02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Oct 17, 2001



Jan Carol Little
Reg. No. 41,181

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600

"Express Mail" mailing label number: EL862050600US

Date of Deposit: October 17, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Sharon Farnus

Sharon Farnus 10/17/01
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)



Creation date: 12-09-2003
Indexing Officer: GMINIE - GELLA MINIE
Team: OIPEBackFileIndexing
Dossier: 09982227

Legal Date: 11-19-2001

No.	Doccode	Number of pages
1	CTMS	1

Total number of pages: 1

Remarks:

Order of re-scan issued on